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13 Subject islands are different

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1 Introduction

Syntactic islands vary in the degree of their opacity, with the well-known contrast between strong and weak islands (Cinque 1990; Rizzi 1990, 2003; Szabolcsi and Zwarts 1993, a.o.). Until recently, decisions about the strength of particular islands relied on individual judgments of the researcher or cursory interviews with fellow linguists; most judgments have been based on English. Some islands, for instance adjuncts, have come out uniformly strong and, as a result, have given researchers confidence in the notion of syntactic opacity (but see Truswell 2007, 2011 for a subset of adjuncts which are transparent for semantic reasons). Other islands show much more variation, both within English and across the few other languages that linguists have considered. Subject islands belong to this latter category, and their degree of opacity has been the cause of disagreement among linguists.

Starting with English, extraction out of subjects shows a range of acceptability depending on the predicate; cf. the following examples based on Chomsky (2008):¹

- (1) a. It was the CAR (not the TRUCK) of which **the driver** __ arrived late/was awarded a prize.
 b. *It was the CAR (not the TRUCK) of which **the driver** __ caused a riot.

The acceptability of examples such as (1a) has also been supported by experimental work (Hiramatsu 1999, 2000), which compared adjunct islands with subjects of unaccusatives and showed that the latter were fairly transparent.

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¹ In the examples below, the constituent from which extraction takes place is shown in bold, and the extraction site is represented atheoretically as a gap (underscore).

From a theoretical standpoint, the acceptability of examples such as (1a) follows from accounts according to which extraction targets the base position of a constituent (Huang 1982b; Chomsky 1986; Merchant 2001, a.o.). We will refer to this family of approaches as Condition on Extraction Domain (CED) accounts. While CED accounts may vary in their details, they all account for extraction restrictions by appealing to different classes of constituents: arguments vs. adjuncts, external vs. internal arguments. Assuming the general principle that extraction should be possible from internal arguments only, the tolerability of (1a) is no longer surprising: extraction takes place out of the subject of an unaccusative, whose base position is that of an internal argument.

However, even if this account is on the right track, there is no arguing that examples like (1a) or (2a), where the subject undergoes subextraction, are perceived as degraded compared to those with subextraction out of objects (2b). In both cases the extraction targets an internal argument. This suggests that the base position alone may not be sufficient to account for island effects.

- (2)
- a. ??What did [a bottle of ____] appear in the kitchen?
 - b. What did she break [a bottle of ____] in the kitchen?

A different approach to island effects in the theoretical literature relies on “freezing”: the conception that once a constituent has moved, it becomes an island. Thus extraction out of a moved constituent is impossible. Three main approaches to freezing are summarized in (3):

- (3)
- Main approaches to freezing*
 - a. GENERALIZED FREEZING: Any type of movement makes a constituent opaque for extraction (Takahashi 1994, Stepanov 2007).
 - b. CRITERIAL FREEZING: Only movement to an A-bar position makes a constituent opaque; such movement does not block subextraction (Rizzi 2006, 2007; Rizzi and Shlonsky 2007).
 - c. FEATURE-DRIVEN FREEZING: Only some types of movement, in particular movement to check Case, lead to opacity of the moved constituent (Boeckx 2008b, Lohndal 2011).

The English data suggest that freezing may be implicated, but freezing alone cannot handle all the facts. In terms of subject islands, freezing can explain the ungrammaticality of (1b) but not the acceptability of (1a). An additional complication for freezing comes from the theoretical side: given the internal subject hypothesis (Kuroda 1988), all subjects have to move, which suggests that freezing, at least in the sense of (3a), should apply across the board. Nevertheless, not all subjects seem to be equally strong islands, and this is what we will explore further in this paper.

With base position and freezing established as two approaches to subject islands, we can now move on to our project: exploring (sub)extraction out of subjects with the help of quantitative methods. We use these methods in order to obtain a comprehensive body of judgments that controls for individual differences among speakers and provides us with reliable data that can feed back into syntactic theory. Our main empirical questions are as follows:

- (4)
 - a. Are all subject islands equally opaque?
 - b. If not, does the strength of the island depend on the base position (co-varied with the predicate type) or on the derived position of the subject?

To address these questions, we will consider subject islands in English and Russian; each language makes a unique contribution. English is important because extensive work on subject island permeability has already been conducted. Hiramatsu (2000) examined English subextraction and found that while subject islands are permeable, adjunct islands are not; her work, however, only considered unaccusative predicates (see also Braze 2002; Goodall 2004). Snyder (2000) and Francom (2009) both found satiation effects in subject islands; Sprouse (2009), using the same experimental design as Snyder (2000), found no satiation effects. The emerging picture is one of empirical confusion: are subject islands permeable or opaque? Depending on one's theoretical take on subject islands, it is also important to ascertain whether all types of subjects should be assessed for islandhood, or only those subjects that originate as external arguments.

The syntactic design of English does not help much in answering all these questions: most subjects appear preverbally (expletive subject constructions being a notable exception), so it is possible that (sub)extraction is sensitive both to freezing effects and to base position.

Russian is a good test case for the role of structural position, as it has reliable unaccusativity diagnostics, and the base position of unaccusatives is easily identifiable. In addition, Russian offers evidence that constituents move to the left periphery of the clause, which allows us to compare the viability of freezing accounts.

This last point takes us to the main theoretical question addressed in this chapter: which type of account, CED or freezing, can better account for subject island effects?

The rest of the chapter is structured as follows. In section 2 we address the relevant properties of English, and present our experimental results on it. Section 3 presents the relevant properties of Russian, and experimental results for that language. Section 4 discusses the experimental results in light of the main theoretical proposals sketched above. Section 5 outlines our conclusions and outstanding questions.

2 English

The goal of our study was to bring some clarity to the ongoing debate about whether subextraction out of different subject types in English is equally unacceptable. We have already indicated that researchers differ in their answer to this question. Without a consensus on the basic data, it makes sense to survey a large number of speakers to eliminate concerns of subjectivity, which is what the experiment below is designed to do. We will compare subextraction out of subjects of unaccusatives, unergatives, and transitives.

The distinction between unergatives and unaccusatives in English has been explored extensively (Levin and Rappaport Hovav 1995; Sorace 2000, a.o.), and unaccusativity diagnostics have been subject to serious scrutiny. For our purposes, it was sufficient to select a representative sample of verbs covering different positions of the unaccusativity hierarchy as proposed by Sorace (where different links correspond to the degree of "prototypical" unaccusativity):

- (5) verbs denoting change of location > verbs denoting change of state > verbs denoting continuation of state > verbs denoting existence of state

2.1 *Experimental study of extraction out of subjects in English*

The main goal of the experiment was to test extractability from subject islands varying by the structural position of the subject. We collected native speakers' acceptability judgment data in an online task, which allowed us to establish the basic judgments for the relevant sentences. We also conducted an online self-paced reading task.

2.1.1 Materials The two tasks shared the same set of materials. We used a 3×2 design crossing subextraction site (subject of unaccusative (SuUA), subject of unergative (SuUE), subject of transitive (SuTr)) with extraction type (subextraction, *wh* control).

There were thirty-six experimental items and sixty-eight filler sentences. The fillers included a mixture of grammatical and ungrammatical sentences. Subjects answered comprehension questions after every three or four sentences.

To mask the difference between subject and object questions (with vacuous movement and regular movement, respectively), we embedded all our test sentences under matrix verbs such as *wonder*, *ask*, *inquire*, etc.

The examples below illustrate extraction out of SuUA (6), SuUE (7), and SuTr (8). All the examples were of equal length up to the postverbal constituent of the embedded clause; in order to make up for the absence of an object in intransitive clauses, and to avoid end-of-sentence wrap-up effects, we

included adjunct PPs in all conditions. In the examples below, (a) represents target sentences and (b), baseline (control) clauses with the *wh*-word in subject position.

- (6) SuUA
 - a. Janet wonders what [the conference on ____] lasted for a week
 - b. Janet wonders what kind of conference lasted for a week
- (7) SuUE
 - a. Janet wonders what [the conference on ____] succeeded for a week
 - b. Janet wonders what kind of conference succeeded for a week
- (8) SuTr
 - a. Janet wonders what [the conference on ____] ignored the proposals for a week
 - b. Janet wonders what kind of conference ignored the proposals for a week

The stimuli contained questions with *what* and with *who* at the ratio of 2 to 1, because questions with *what* are more natural and allow for a broader range of complex DPs.

Another consideration in the design of our stimuli was the observation that extraction out of islands becomes more tolerable when the DP is less definite (Chung and McCloskey 1983; Kluender 1998). Compare the following examples, where the extraction out of an indefinite complex DP intuitively seems less anomalous:

- (9) a. *This is the paper [that we need to find the linguist [that understands ____]]
- b. *?This is the paper [that we need to find a linguist [that understands ____]]
- c. ?This is the paper [that we need to find someone [who understands ____]]

In order to probe for the role of definiteness, we constructed our stimuli so that half of the subjects were definite and the other half were indefinite, equally distributed across the subtypes listed above. Each participant was presented with definite and indefinite stimuli.

2.1.2 Subjects Fifty-six native English-speakers participated in the reading time study. The subjects were all tested in the Boston area. All subjects were adults over 18 years of age. Of the forty-two speakers whose age and gender data were collected, age ranged from 19 to 59 (average age 24, SD 7 years); twenty-five subjects were female. The subjects were reimbursed for their participation.

Participants were tested individually in a quiet room. Subjects completed the self-paced reading task first. Sentences were presented using either IBEX² or

² <http://spellout.net/ibexfarm> (Alex Drummond).

the LINGER Software package (Rohde 2003) on a PC, with a high-sensitivity keyboard in both set-ups. Participants pressed the space bar in order to continue reading each sentence, in a word-by-word fashion. One-third of the sentences were followed by a yes/no comprehension question. Results from the comprehension questions show that the average accuracy rate was 91 percent, with every participant having an accuracy rate of at least 85 percent. No subjects were excluded from the data analysis. In the judgment task, the instruction was to rate each sentence on a 1 to 7 scale, where 1 represented a completely unacceptable sentence, and 7 represented a fully acceptable one. The participants were asked to make the judgments based on their intuitions, rather than any prescriptive rules acquired in classroom settings. The task was set up in such a way as to allow us to record the subjects' judgments, as well as their reaction times.

2.2 Results and discussion

2.2.1 Results: Judgment task Judgments (1–7; Likert-type scale) were transformed into z-scores, where means and standard deviations were estimated for each subject based on the responses across all target items. Z-transformed judgments were analyzed in terms of a linear mixed-effects model with random intercepts for subjects and items and random slopes (including correlations) for all fixed effects grouped by subject and item. The definiteness of the DP from which the subextraction took place and interactions of definiteness with transitivity and the unaccusative/unergative contrast do not contribute significantly to data likelihood ($\chi^2(3) = 4, p = 0.27$). Definiteness is therefore omitted from all analyses reported below.³ The z-transformed judgments are shown in Figure 13.1.

There was a significant difference between judgments in the subextraction condition and in the baseline ($\beta = -3.4, t = -14.6, \chi^2(1) = 67.05, p < .0001$); sentences in the baseline condition, without subextraction (mean = 0.78, $CI_{95} = [0.69; 0.87]$) were judged about 1.6 standard deviations better than sentences in the subextraction condition (mean = -0.78, $CI_{95} = [-0.72; -0.85]$). There was no significant effect of transitivity ($\chi^2(1) = 1.19, p = .28$), but there was a marginal difference between the unaccusatives and unergatives ($\beta = -0.18, t = -1.68, \chi^2(1) = 2.71, p < .1$), with unaccusative subextraction being

³ The absence of definiteness effects is probably due to the size of the dependency; our dependencies are all very short. The results therefore give support to the idea that distance between the filler and gap affects the character of filler-gap relationships (see Bever and Sanz 1997: 86–88 on the role of distance in establishing filler-gap relationships).

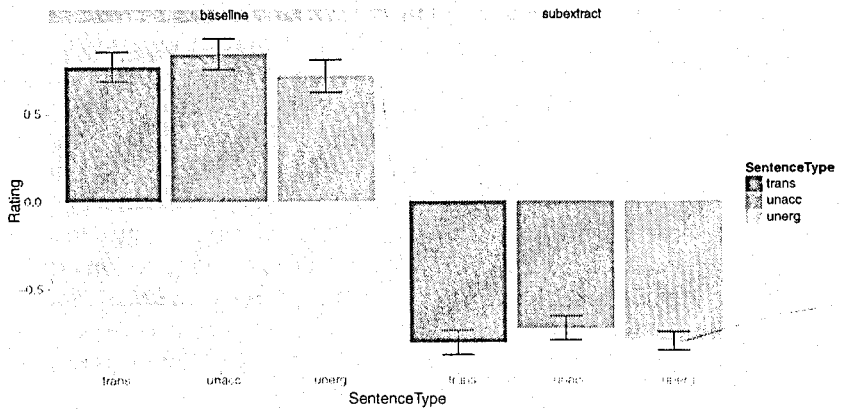


Figure 13.1 Judgments on baseline sentences and subject subextraction sentences in English, 1–7 scale

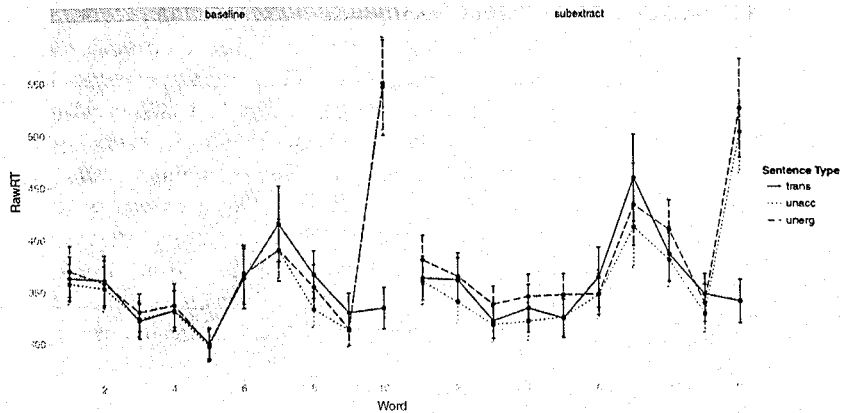


Figure 13.2 Word-by-word reading times (raw RTs, ms) for baseline (control) sentences and for subextraction from the subject of an unaccusative, unergative, and transitive in English

judged slightly better. There were also no significant interactions between the contrast-specifying extraction type and the other fixed effects ($\chi^2(2) = .74$, $p = .69$).

2.2.2 Results: Self-paced reading task The results of the reading time task are presented in Figure 13.2. Recall that the stimuli had the following structure – here we omit any words past the first spillover region (W8 was a

determiner in the transitive condition and a preposition in the intransitive condition):

- (10) Janet wonders [WH DET NP Preposition ____ Verb DP/PP]
 W1 W2 W3 W4 W5 W6 extraction site W7 W8

Reaction times more than 2 standard deviations greater or smaller than the mean reaction time for that word across all subjects and items were removed from the analysis. We analyzed raw log-RT in terms of a linear mixed model with random intercepts for subjects and items and random slopes (including correlations) for all fixed effects grouped by subject and item.

At word 7, right after the extraction site, we find a significant effect of verb transitivity ($\beta = -0.04$, $t = -2.02$, $\chi^2(1) = 4.2$, $p < .05$) in that word 7 is read slower in the transitive condition (mean = 436.44 ms, $CI_{95} = [397.44; 475.45]$) than in the intransitive condition (mean = 405.51 ms, $CI_{95} = [371.61, 439.41]$). There is no significant difference between unaccusatives and unergatives ($\beta = 0.02$, $t = 0.64$, $\chi^2(1) = 0.4$, $p = .52$). There is also no significant difference between subextraction and the baseline ($\beta = 0.04$, $t = 1.35$, $\chi^2(1) = 1.62$, $p = .2$). We further find no interactions between the contrast specifying the difference between subextraction and baseline and the other fixed effects ($\chi^2(2) = 0.65$, $p = .72$).

At word 8, the spillover, we again find a significant effect of transitivity ($\beta = -0.02$, $t = -1.14$, $\chi^2(1) = 5$, $p < .05$); this word is read slower in the transitive condition (mean = 375.40 ms, $CI_{95} = [350.75; 400.06]$) than in the intransitive conditions (mean = 368.48, $CI_{95} = [345.2; 391.76]$). At word 8, there is also a significant difference between unaccusatives and unergatives ($\beta = 0.05$, $t = 2.19$, $\chi^2(1) = 8.32$, $p < .005$) in that word 8 was read faster in the unaccusative condition (mean = 355.87, $CI_{95} = [334.38; 377.35]$) than in the unergative condition (mean = 381.09, $CI_{95} = [356.02; 406.17]$). There is also a significant effect of extraction type ($\beta = 0.11$, $t = 5.47$, $\chi^2(1) = 25.27$, $p < .0001$) in that word 8 was read significantly faster in the baseline condition (mean = 350.54, $CI_{95} = [329.96; 371.13]$) than in the subextraction condition (mean = 391.04, $CI_{95} = [364.15; 417.93]$). We find no interaction between the contrast-specifying extraction type and the other fixed effects ($\chi^2(2) = 2.4$, $p = .3$).

Under the assumption shared by most researchers that reading-time slowdowns are a reflection of processing difficulty, the reading times confirm that extraction out of subjects imposes a processing cost not seen in the baseline. Furthermore, the reading times allow us to establish the following hierarchy

of subextraction, from the most transparent (unaccusative subjects) to the most opaque (transitive subjects):⁴

(11) SuUA > SuUE > SuTr

2.2.3 *Discussion* The ratings of subextractions in the judgment task were quite low, a sign that the readers showed significant discomfort with extraction out of subjects, thus supporting the overall conception that even examples like (1a) and (2a) are marginal at best. However, the marginal difference between unaccusative and unergative subjects in the judgment task and the results of the reading study show that not all subject islands behave the same way.

Unaccusative subject advantage. We find that extraction out of an unaccusative subject is not as pernicious as extraction out of an unergative or a transitive subject. This result is consistent with the intuitions reported by some researchers, as discussed above. It is also consistent with the findings of earlier experimental studies (e.g., Hiramatsu 1999, 2000) that limited subject island stimuli to subjects of unaccusatives and found those islands to be weak. This result, however, is barely visible in our judgment task.⁵ Why? We would like to offer two considerations, one related to the grammar of English, the other more general.

In English clauses without an expletive subject, the subject of unaccusatives clearly has to move from its base position (internal argument) to the derived subject position. Unaccusative subjects remain relatively transparent (based on the reading data), and this result lends support to the idea that extraction targets the base position of a constituent, not its derived position (similar to Merchant 2001).

However, the subject of an unaccusative is inferior to the object in terms of subextraction – intuitions converge on the fact that objects are transparent for extraction: cf. (2a,b) above. So while the base position is a strong contender for the explanation of the results, it only goes halfway. The fact that the unaccusative subject is judged bad under subextraction suggests that its movement to the true subject position for Case affects its transparency.

Let us see if an explanation in terms of criterial freezing would fare better. The subject position in English is not an A-bar position, which rules out criterial freezing (3b); furthermore, subextraction is supposed to be possible under criterial freezing (Rizzi and Shlonsky 2007). The English data thus may seem to argue in favor of Case-related freezing, possibly along the lines of (3c) above. All the subject constituents, regardless of the verb type, are

⁴ This is of course based on the assumption that subjects did not try to parse the sentences in other ways, something that cannot be ruled out with any shades of ungrammaticality. See also fn. 6.

⁵ As we already mentioned, there is a mild effect in the judgment task favoring the subject of unaccusatives.

frozen since they have moved to spec,TP to receive Case.⁶ With respect to internal arguments, the Case-related approach to freezing correctly predicts that they should allow subextraction (as they do not need to move to get their Case feature checked). If object shift takes place, subextraction should be impossible.⁷ However, this particular account in terms of freezing fails to explain why subjects of unaccusatives are easier to subextract from than two other subject types. On any freezing account, all subjects are predicted to be equally strong islands.

Let us now turn to the variation in the acceptability of subextraction from unaccusative subjects reported in the literature. Some researchers accept unaccusative subject subextraction, but the average rating for all subject island violations here is rather poor. Why could that be? The explanation may have to do with the relative weight of the two factors that influence islandhood, viz., the base position of a constituent and the final position. Arguably, some English speakers are more sensitive to base position, and they find extraction out of unaccusative subjects tolerable. This would explain the variation in judgments reported in the literature, with some researchers assigning more value to the base position, hence accepting sentences like (1a) and (2a), and others being less sensitive to the base position. But why would the latter group be in the majority, as our judgment results suggest? In our view, this has to do with the fact that in English the base position of the unaccusative subject is obscured because the subject has to move (unless the sentence has an overt expletive, which we did not consider in the experiment).⁸

A language where one could compare extraction out of a base position to extraction out of a moved position would be useful at this point, and this is exactly what we will be examining in Russian. Our prediction is that in languages where the base position is "visible" on the surface, the difference among subtypes of subject islands would be more pronounced. Furthermore, since Russian subjects move to spec,TP for reasons other than Case, we do not expect them to show freezing effects.

The other consideration we would like to offer is more general. It has to do with the interpretation of different methods of experimental testing. In assessing primary data, linguists rely on native speakers' intuitions: a structure can be

⁶ There is another, theoretically less interesting possibility: It could be the case that subextraction, when compared to the other sentences used in the experiment, is so exceedingly unnatural that relative orderings of different types of subextraction become indiscernible.

⁷ For indirect objects and applicative objects, the analysis becomes more complicated; we refer the reader to Lohndal (2011) for discussion.

⁸ Extraction out of the unmoved internal argument of an existential is predictably fine:

- (i) Which wars were there documentaries about at the festival?
- (ii) The tragedies that there have been essays about are all but forgotten.

judged “good,” “bad,” or in between, although the true meaning of these labels is elusive. Judgment tasks are just another way of obtaining native speaker intuitions, albeit on a more massive scale (Sprouse and Almeida 2013). In comparing judgment data to behavioral measures, such as reading time, we make an assumption that these measures should be parallel. But this is just an assumption. Do reading times really allow us to get at the root of acceptability judgments? When judgments and reading times pattern together, one can simply assume that acceptability and ease of reading work in sync and even push it as an explanation. In our study, however, the judgment data are less fine-grained than the reading time data. We thus conclude that both tasks elicit data which reflect the tension between the base position and the derived position, and that this tension is simply more visible in the reading time task. However, the power of this conclusion crucially relies on the assumption that reading time tasks reflect the same language evaluation processes as judgment tasks, and this assumption needs to be scrutinized further.

Transitivity penalty. In addition to finding that subjects of unaccusatives are weaker islands than other subjects, we find a pronounced effect of transitivity – we will refer to it as a transitivity penalty. Transitive sentences showed a slowdown at the embedded verb in the baseline condition, where there were no differences other than the valency of the verb. Transitive subjects also caused a heavier processing load in the reading study than both unaccusatives and unergatives. Since unergative subjects are also external arguments, the external argument effect cannot be implicated. This result suggests that verbal valency or argument structure has an effect on the processing of syntactic structure. Furthermore, it adds important empirical evidence in support of the psychological reality of the argument/adjunct distinction: our intransitive stimuli had PP adjuncts to balance the surface length but these adjuncts appear to impose a smaller processing penalty than arguments of a transitive clause.

At this point, we would like to characterize the transitivity penalty only as an emergent empirical generalization. It needs to be investigated further across different structures within and across languages. For instance, it is not yet clear if the difference we find is due to transitivity (the presence of a complement) or to the presence of any extra argument as opposed to an adjunct; these two possibilities make different predictions for psych verbs. These predicates are a fruitful avenue for future research: psych verbs have two arguments, but are not transitive, so their investigation would allow us to distinguish between the effect of valency and the effect of transitivity.

In sum, the relative weakness of unaccusative subject islands can be accounted for if we assume that extraction targets the base position of the extraction site: unaccusative subjects start out as internal arguments. This effect in English is weak, and we hypothesize that it is canceled out by the subject’s movement to an external argument position. The difference between the base

and derived position is easier to assess in a language that has freer word order than English.

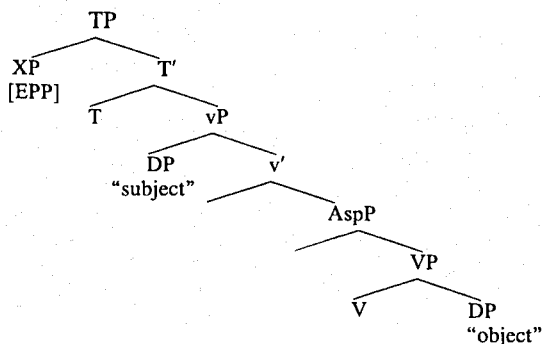
3 Russian

3.1 Russian clause structure

Due to rampant scrambling, the subject position and the highest left-peripheral specifier position are not necessarily one and the same in Russian. Overall, the language is SVO (subject–verb–object); this means that the subject occurs in the left-peripheral specifier position, but other XPs can also occur in that position.⁹

In formal studies of Russian, there is no common view on how the structure above VP is derived. Given the lack of consensus, we assume the minimal structure needed and do not commit to any positions above the TP and simple CP. As far as verb movement is concerned, it is generally held that such movement is short, to a projection below T, most likely to an aspectual head (Bailyn 1995; Junghanns and Zybatow 1997; Babko-Malaya 2003; Griбанова 2010). For transitive verbs, we assume the basic structure in (12).

(12)



It is generally assumed that Case is licensed in Russian via Agree (see Kallestinova 2007 for an overview). Subjects can move to spec,TP to satisfy the Extended Projection Principle (EPP), resulting in the SVO word order (Bailyn 2004). On the surface, both subjects and objects can precede or follow the verb. With respect to the object, it is safe to assume that its base position is postverbal and that it scrambles out of the VP into the vP area (13b).¹⁰

⁹ Some researchers, for example King (1995), posit a number of left-peripheral positions above the Russian subject. We will return to this issue below.

¹⁰ VP or vP remnants can also move after one of the arguments has moved.

- (13) a. [_{TP} naša sosedka [_{VP} ~~naša sosedka~~ [_{VP} prinesla pirogi]]]
 [our neighbor].NOM brought cakes.ACC
- b. [_{TP} naša sosedka [_{VP} [_{VP} pirogi [_{VP} prinesla ~~pirogi~~]]]]
 [our neighbor].NOM cakes.ACC brought
- c. [_{TP} pirogi [_{AspP} prinesla [_{VP} naša sosedka [_{VP} ~~prinesla pirogi~~]]]
 cakes.ACC brought [our neighbor].NOM
 ‘Our neighbor brought cakes.’

The derivation of (13c) is less straightforward (see also Bailyn 2004 and Kallestinova 2007 for discussion). There are three issues here. The first concerns the position of the verb. Most researchers agree that the verb moves to a higher projection (with some disagreement regarding the actual landing site).

The second issue has to do with the position of the subject. One could imagine that the subject is scrambled to the right, for example via extraposition.¹¹ On that option, the subject is essentially a high adjunct. This predicts that it should be a strict island for extraction – but it is not, as much of our discussion below shows (see also Stepanov 2001a, 2007 for primary data). In addition, one would expect the extraposed constituent to take wide scope (cf. Fox and Nissenbaum 1999); however, this is not the case: cf. (14a, b), where the latter example is a putative case of extraposition.

- (14) a. č'i-to deti vse vremja vorujut moi konfety
 someone's children always steal my candy
 ‘Someone's children always steal my candy.’
 ALWAYS > SOMEONE, SOMEONE > ALWAYS
- b. moi konfety vse vremja vorujut č'i-to deti
 my candy always steal someone's children
 ‘Someone's children always steal my candy.’
 ALWAYS > SOMEONE, *SOMEONE > ALWAYS

Based on this, we conclude that the order in (13c) represents the base position of the subject in spec,VP; it is an A-position.

The final issue has to do with the position of the object: presumably it moves to TP (not higher, because this position is available in embedded clauses – cf. Bailyn 1995; King 1995), as is shown in our representation in (13c). Alternatively, the object could left-adjoin to TP, in some kind of a topic position (King 1995), unless we adopt an extended left periphery. We will return to this issue in the discussion of our results.

Unlike English, Russian allows us to use diagnostics to separate unaccusative and unergative predicates with a high degree of certainty (cf. the genitive of

¹¹ Both of these possibilities could be ruled out with Kayneian minimalist assumptions, but we would like to consider empirical arguments against them as well.

negation, Babyonyshev *et al.* 2001; Potsdam and Polinsky 2011; Polinsky and Potsdam in press).¹² The structures for these predicates are shown in (15) and (16), with irrelevant details omitted. For unaccusatives, we follow the uncontroversial assumption that the subject originates in the VP and then moves to a higher position.

(15) Unergatives: [_{TP} XP [_{VP} Subject [_{VP}]]]

(16) Unaccusatives: [_{TP} XP [_{VP} Subject]]

In our experimental design we used pre- and postverbal constituents, schematized in (13a) and (13c). Before we describe our experimental sentences, we need to introduce the phenomenon of long-distance scrambling that we relied on experimentally. Russian has long-distance A-bar movement (Bailyn 1995, 2001), which allows the scrambling of arguments and some adjuncts (Bailyn 2001; Shields 2005; Testeleets 2006) over the subjunctive complementizer *čtoby*.¹³

- (17)
- a. oni kupili mašin-u v Moskve
they bought car-ACC in Moscow
'They bought a car in Moscow.'
 - b. ja mašin-u xotel [čtoby oni kupili ____ v Moskve]
1SG car-ACC wanted COMP.SUBJN they bought ____ in Moscow
'I wanted them to buy a car in Moscow.'
 - c. čto ty xotel [čtoby oni kupili ____ v Moskve]?
what 2SG wanted COMP.SUBJN they bought ____ in Moscow
'What did you want them to buy in Moscow?'

Long-distance *wh*-movement is not acceptable *for subjects*, at least under normal intonation (Bailyn 2001, 2003, 2004; Glushan 2006; Testeleets 2006), but is possible for extraction *out of subjects*, in an apparent instance of left-branch extraction. In the stimuli below, we will be using subextraction out of embedded subjects and objects with the *wh*-word appearing over the subjunctive complementizer.

¹² Another unaccusativity test proposed for Russian is based on the distributive phrase with *po*, limited to subjects of unaccusatives and direct objects, but not the other subject types (Babby 1980, Pesetsky 1982, a.o.). However, this diagnostic has met with a number of counterexamples (see Harves 2002, 2003).

¹³ This scrambling is typical of more colloquial registers. Scrambling over the overt or silent indicative complementizer *čto* is subject to more variation across speakers, which is why we chose not to use it in this study.

3.2 *Experimental study of extraction out of subjects in Russian*

The main goal of the experiment was to test extraction out of DPs (left-branch extraction), varying the structural position of the subject and object. We collected native speakers' acceptability judgment data in an online task. The procedure was the same as described for English; the only difference was that we used a 1–5 scale for the judgment task.

3.2.1 Materials We used a $4 \times 2 \times 2$ design based on the following independent variables: subextraction site (subject of unaccusative (SuUA), subject of unergative (SuUE), subject of transitive (SuTr), object (OBJ)); extraction type (subextraction vs. grammatical control *wh*-questions); pre-/postverbal position of the subextraction site.

In total there were forty experimental items and, in two versions of the experiment that differed only in the number and type of fillers, either 142 or 107 filler sentences (there were no differences between these two in terms of the observed results). The fillers included a mixture of grammatical and ungrammatical sentences. Subjects answered comprehension questions after every three or four sentences.

The examples below illustrate extraction out of SuUA (18), SuUE (19), SuTr (20), and OBJ (21), with the extraction site shown preverbally.¹⁴

- (18) kakie ty mečtaeš' [čtoby ____ **aktjory** okazalis' na scene]?
 what-kind-of 2SG dream COMP actors appeared on stage
 'What kind of actors do you hope to appear on the stage?' (SuUA)
- (19) kakie ty mečtaeš' [čtoby ____ **gruppy** tancevali na scene]?
 what-kind-of 2SG dream COMP groups danced on stage
 'What kind of groups do you hope to dance on the stage?' (SuUE)
- (20) kakie on prosil [čtoby ____ **sotrudniki** blagodarili direktora]?
 what-kind-of he asked COMP employees thanked director
 'What kind of staff members did he ask to thank the director?' (SuTr)
- (21) kakie ty xočeš' [čtoby ____ **otmetki** ob"javil professor]?
 what-kind-of 2SG want COMP grades announced professor
 'What kind of grades do you want the professor to announce?' (OBJ)

Russian has different case marking depending on the animacy of the object. The initial form of the *wh*-word is *kakogo/kakuju/kakix* for animates in the singular masculine, singular feminine, and plural respectively; for inanimate plural objects it is invariably *kakie*, indistinguishable from the nominative used with animates and inanimates. Thus, we only tested extraction out of inanimate

¹⁴ All the examples were of equal length; in order to make up for the absence of an object in intransitive clauses, we included adjunct PPs or adverbs.

objects, otherwise the reader could immediately predict that the question was about an animate object, not subject. For the subjects, the stimuli included a roughly even ratio of animate to inanimate DPs in the subject position. The stimuli were normed by five native speakers.

3.2.2 Participants A total of 147 native Russian speakers participated in the study. 21 speakers were tested in the Boston area, 23 in Moscow, and 103 speakers in an online study with participants in Estonia, Ukraine, Canada, USA, Australia, and Holland. All subjects were adults over 18 years of age. Of the 99 speakers whose age data were collected, ages ranged from 19 to 67 years (average age 30, SD 9 years). Of the 121 speakers whose gender data were collected, 76 were females and 45 were males. Those participants who were tested in the US had been outside Russia for an average of 1.5 years. Results from the accuracy data show that the average accuracy rate was 91 percent, with every participant having an accuracy rate of at least 85 percent. No one was excluded from the data analysis.

3.2.3 Results The results for subextractions are summarized in Figures 13.3 and 13.4, with two word orders (VX and XV) shown separately and treated as two different experiments.

Judgments (1–5; Likert-type scale) were transformed into z-scores, where means and standard deviations were estimated for each subject based on the responses across all target items (unaccusatives, unergatives, transitive subjects, objects). The z-transformed judgments were analyzed in terms of a linear mixed-effects model with random intercepts for subjects and items and random slopes (including correlations) for all fixed effects grouped by subject and item.

In the VX order, there is a significant difference between transitive and intransitive sentences ($\beta = -0.4$, $t = -3.2$, $\chi^2(1) = 9.85$, $p < .005$); transitive (mean = -0.16 , $CI_{95} = [-0.25; -0.07]$) sentences are judged worse than intransitive (mean = 0.17 , $CI_{95} = [0.07; 0.26]$) sentences. We further find a significant difference between unaccusative and unergative subjects ($\beta = -0.36$, $t = -2.03$, $\chi^2(1) = 3.88$, $p < .05$); extraction out of unaccusatives (mean = 0.33 , $CI_{95} = [0.22; 0.43]$) is judged better than out of unergatives (mean = 0.0 , $CI_{95} = [-0.09; 0.09]$). Finally, we find a significant difference between extraction out of objects and extraction out of transitive subjects ($\beta = 0.75$, $t = 4$, $\chi^2 = 10.93$, $p < .001$); transitive subjects (mean = -0.49 , $CI_{95} = [-0.59; -0.40]$) are judged as less transparent than objects (mean = 0.17 , $CI_{95} = [0.08; 0.26]$).

In the XV order, there is again a significant difference between transitive and intransitive sentences ($\beta = -0.39$, $t = -3.77$, $\chi^2(1) = 12.32$, $p < .0005$), in that

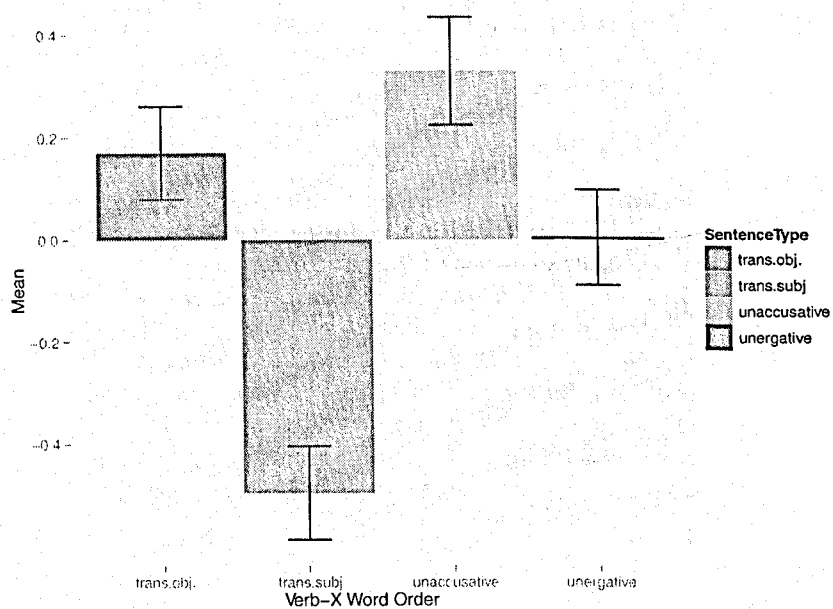


Figure 13.3 Judgments on Russian subextraction, VX

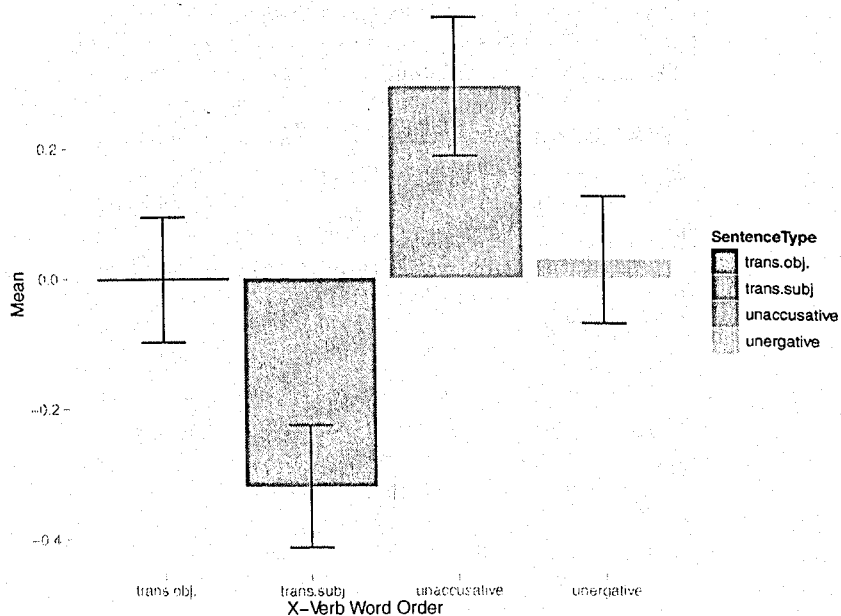


Figure 13.4 Judgments on Russian subextraction, XV

Table 13.1 *Transparency for subextraction*

	SuUA	Obj	SuUE	SuTr
XV order	Transparent	Opaque	Opaque	Opaque
VX order	Transparent	Transparent	Opaque	Opaque

transitive sentences (mean = -0.16 , $CI_{95} = [-0.25; -0.07]$) are judged worse than intransitive sentences (mean = 0.16 , $CI_{95} = [0.06; 0.26]$). We also find a marginally significant difference between unaccusatives and unergatives ($\beta = -0.22$, $t = -1.73$, $\chi^2(1) = 2.98$, $p < .01$) in that extraction out of unaccusative subjects (mean = 0.29 , $CI_{95} = [0.19; 0.4]$) is judged better than extraction out of unergative subjects (mean = 0.03 , $CI_{95} = [-0.07; 0.13]$). Finally, we find a significant difference between the extraction out of objects vs. transitive subjects ($\beta = 0.4$, $t = 4$, $\chi^2 = 5.83$, $p < .05$) in that transitive subjects (mean = -0.31 , $CI_{95} = [-0.41; 0.23]$) are less transparent for subextraction than objects (mean = 0.0 , $CI_{95} = [-0.1; 0.1]$).

In sum, across both word orders there is a robust difference between transitive subjects (judged the lowest) and all the other constituents. There is also a significant effect of grammatical function, objects being rated higher than subjects. Within intransitives, there is a significant effect of unaccusativity – extraction out of unaccusative subjects was rated higher than extraction out of subjects of unergatives and even out of objects.

Thus, extraction out of subjects in Russian follows the cline in (22):

$$(22) \quad \text{SuUA} > \text{SuUE} > \text{SuTr}$$

Note also that the scores for all the three subject types are roughly the same in the VX and XV orders; however, there is a significant deterioration in judgments of subextraction out of the direct object in the preverbal position.¹⁵ If we now add the object to the mix, the Russian results suggest the patterns shown in Table 13.1 with respect to transparency for subextraction.

3.2.4 Discussion The Russian experiment shows that not all subject islands are created equal, with unaccusative subjects being the most transparent. Thus, Russian, much more clearly than English, shows the *unaccusative subject advantage*: subjects of unaccusatives are weaker islands than their external

¹⁵ We also conducted a self-paced reading pilot study which produced results consistent with the patterns of judgments observed in terms of relative ordering of empirical means (significance was not assessed). For brevity, we will not go into the details of this study here.

argument counterparts. This lends support to the empirical conclusion that subject island properties co-vary with the structural type of the predicate.

When the subject appears postverbally, it is presumably in its base position. Postverbal objects and unaccusative subjects exhibit equivalent reading times, shorter than the reading times for extraction out of unergative and transitive subjects. All four types of constituents are in their base position (see the structures above), but only objects and unaccusative subjects are internal arguments. Thus, the contrast between unergative and transitive subjects on the one hand, and unaccusative subjects and objects on the other, suggests that the familiar difference between external and internal arguments is critical for extractability.

Since Russian has a more flexible word order than English, it allows us to better dissociate the effects of base position from the hypothetical (and varied) effects of freezing. By hypothesis, all the preverbal constituents appear in spec,TP, which in Russian is an A-position. This movement should result in freezing under the generalized conception of freezing (3a).

However, the subjects of all three types seem unaffected by freezing: we find the very same ordering of extraction in the analyses of XV and VX judgments, suggesting that the two word orders pattern alike. This argues against generalized freezing, according to which any movement leads to opacity. The two other approaches to freezing do not predict any island effects. Criterial freezing (3b) does not apply to A-positions and does not rule out subextraction (Rizzi 2007). Case-related freezing (3c) should not apply because Case in Russian is assigned via Agree and there is no movement for Case. Thus, the Russian facts argue against the freezing approaches to islands, and the argument from Russian is stronger than the argument we could make on the basis of English.

We are left with one outstanding issue: the opacity of preverbal objects, reflected in the ratings for XV word order and in the reading time pilot study. There are three possibilities here and we will consider each in turn.

The simplest explanation could come from *frequency effects*: if the OVS word order was rare in Russian, it could be expected to independently cause significant discomfort for speakers. However, this suggestion is untenable. SVO and OVS are the two most common Russian word orders, with OVS occurring about 21 percent of the time (Kallestinova 2007: 51). In our search of the Russian National Corpus, we found 95 OVS sentences out of 244 clauses embedded under the conjunction *čtoby*.

The second explanation could rely on the *dual nature of spec,TP*, the idea being that nominative arguments checking the EPP show A-properties, and non-nominative arguments show A-bar properties. Proposals appealing to the dual A/A-bar nature of spec,TP have been advanced for West Flemish and Italian (Haegeman 1995), Spanish (Goodall 2001, 2002), and Russian (Borovikoff

2001). All these proposals share a distinction between nominative arguments, which have A-properties, and non-nominative constituents, which have A-bar properties. However, even if this reasoning is correct, it would require, as the next step, appeal to criterial freezing ((3b) above): the object has moved to spec,TP, an A-bar position, presumably satisfying the Topic criterion. But, as we have already mentioned, criterial freezing does not rule out subextraction, so unless the constraints on subextraction are radically revised, this move does not help explain the resulting opacity of the object.

We are then left with the third possibility, the one we mentioned in passing in our discussion of the OVS derivation (13c) above. The proposal is that the object is actually not in spec,TP, but instead is left-adjoined to TP (King 1995; Junghanns and Zybatow 1997), thus:¹⁶

- (23) [TP Object [TP *ec* [T Verb [VP Subject [VP ~~Verb-Object~~]]]]]

Adjuncts are strong islands, and the adjunction will therefore explain why the object is no longer transparent in the preverbal position. This explanation receives additional support from scope readings. Both preverbal and postverbal subjects in Russian are scopally ambiguous, as illustrated by the following example:

- (24) a. vse studenty ne sdali domašnie zadaniya
[all students].NOM not gave homework.PL.ACC
b. ne sdali vse studenty domašnie zadaniya
not gave [all students].NOM homework.PL.ACC
'All students did not turn in their homework.' (ALL > NEG, NEG > ALL)

Similarly, postverbal objects are scopally ambiguous:

- (25) Maša ne priglasila vsekh studentov
Masha not invited [all students].ACC
'Masha did not invite all students.' (ALL > NEG, NEG > ALL)

Preverbal objects, however, take only wide scope:

- (26) vsekh studentov Maša ne priglasila
[all students].ACC Masha not invited
'Masha did not invite all the students.' (ALL > NEG, *NEG > ALL)

Such a scopal restriction is unexpected if the object lands in spec,TP, the same position as the subject. It is, however, compatible with the status of the object as a high adjunct (cf. also (14) above, where the same effects are observed).

¹⁶ We leave open the question of whether the object is base-generated in this position or moves into it; for our purposes, these options make no difference.

We conclude that the preverbal object is in a left-adjoined position at TP (cf. King 1995 for a similar proposal), and this structural position accounts for its opacity.

4 General discussion

We started by asking the following research questions: Are all subject islands equally opaque? If not, does the strength of the island depend on its base position or on the derived position of the subject?

The answer to the first question is a clear “no.” We were able to show, both on the basis of judgments and reading times, that subjects of unaccusatives are somewhat transparent. This effect is consistent with informal observations on subjects of unaccusatives in English, as well as with experimental studies that showed that unaccusative subjects are weak islands (Hiramatsu 1999, 2000 for English). The overall conclusion is that *not all subject islands are equally impermeable to movement*. Thus, the subtle intuitions that have been showing up here and there (all the while being questioned by other native speakers) have been confirmed by quantitative study. Even if our interpretation of the reasons for subject island variability is not on the right track, we hope to have demonstrated that unaccusative subjects are more transparent than the other types of subjects in English or Russian.

The relative transparency of unaccusative subjects observed in our data gives support to the conception that extraction targets the base position of a constituent (cf. Merchant 2001; Jurka 2010 and references therein). The reason unaccusative subjects are permeable is that they start out as internal arguments (complements to the verb), and these complements are transparent to extraction. Thus, CED-type approaches to extraction correctly account for the data presented here.

We have also entertained an alternative to the base position analysis, namely, the possibility that subjects are islands because of freezing effects: once a constituent has moved to a particular position, it is no longer transparent to (sub)extraction. We find that generalized freezing, as applied to any moved constituent, cannot account for the English and Russian data discussed above. The English data lend support to the more narrow, Case-based conception of freezing (Lohndal 2011): constituents moving for Case are subsequently frozen. English and Russian present a helpful contrast here: in English, but not in Russian, movement happens for Case reasons and leads to freezing. However, no freezing account can explain why there is a difference in extraction from different types of subjects (unergative, unaccusative, and transitive).

The contrast between unaccusative subjects and the other subject types was even sharper in Russian, thus rendering a freezing account untenable. We attribute the sharp differences found in Russian to two factors which are absent

In English: first, Russian word order distinguishes between the base and derived position, and second, Russian DPs receive Case via Agree. Thus, there is no Case-related freezing but there still is a clear-cut distinction between external and internal arguments. As internal arguments, Russian unaccusative subjects and objects are extremely similar in terms of subextraction. Somewhat unexpectedly, preverbal objects in Russian show great opacity. In keeping with some theoretical proposals in Russian syntax, we hypothesize that this is due to their adjunct status; they are adjoined at TP and as adjuncts show strong island effects.

Our results also show that *transitive subjects are the strongest subject islands*. A similar result has been found in Czech (Sturgeon *et al.* 2010) and German (Jurka 2010). It is hard to imagine how the strength of transitive subject islands can be explained in purely syntactic terms: both unergative and transitive subjects are merged as external arguments, presumably at the same height, and both move to the same higher specifier.

Thus, we need to look for a different explanation. We hypothesize that a profitable path to consider is the argument structure of these verbs. When a parser encounters a transitive verb, it needs to project two arguments, not just one, as is the case with intransitives; that may impose an additional burden on the processing of such clauses. At this stage, this is just a hypothesis and needs to be tested by considering other two-place verbs that are not syntactically transitive. Such verbs would allow us to understand whether what matters is the actual presence of a complement (a syntactic representation) or the availability of an argument structure with two or more participants.

There is growing evidence that valency makes the processing of long-distance dependencies more difficult. Jurka (2010) found a transitivity effect in German similar to the one reported here. Researchers have noticed that transitivity imposes an additional cost on the processing of long-distance dependencies by children (Goodluck and Tavakolian 1982; Guasti 2002: 226; Diessel 2009, *a.o.*) and adults (O'Bryan 2003; Friedmann *et al.* 2008; Polinsky *et al.* 2012, *a.o.*). For English, Chen *et al.* (2005: 161) suggests that there may be storage costs associated with predicting arguments of verbs in the region following the verb. In particular, Chen *et al.* compared reading times for the object NP in the obligatory transitive condition and the obligatory ditransitive condition. They found faster reading times for the object of a transitive. As for the explanation of the transitivity penalty, it could either be related to storage costs (per Chen *et al.* 2005), or point to a direct mapping between event structure and processing (as suggested in O'Bryan 2003). It could also be due to yet unconsidered factors. We believe it is too early to explain this transitivity penalty because we still need to find out where exactly it applies. Subject islands seem to be just another case where it is implicated, but the full range of application of the penalty is not yet known.

5 Conclusions

This chapter analyzed subject islands in English and Russian. The choice of subject islands was motivated by the fact that the primary literature vacillates between treating them as weak or strong. As for the languages of study, we chose English because it has been at the core of numerous discussions concerning the islandhood of subjects, and Russian because it is ideally suited to test the two main hypotheses concerning island effects: the role of the base position of a constituent, which the word order makes directly visible, and the role of freezing, which becomes relevant when subject constituents move to spec,TP. In other words, Russian fills in the empirical gaps left open by the rigid surface order of English.

Our results show that subject islands vary in strength depending on the type of the predicate, with unaccusative subjects being the weakest islands because of their initial status as internal arguments. This in turn validates the idea that extraction is sensitive to the base position of the constituent from which it moved. This is particularly evident in Russian, where subextraction out of unaccusative subjects and postverbal objects is equally acceptable.

In English, however, unaccusative subjects are less transparent than objects; we attribute this difference to the fact that English speakers have to deal with the tension between the permissible extraction out of a base position and the impossible extraction out of an external subject. This tension can also explain disparities in the acceptability of unaccusative subject islands reported in the literature.

Taken together, our results allow us to compare two types of explanations for island effects, CED-based accounts on the one hand, and freezing accounts on the other. Our results are consistent with CED accounts, and underscore the importance of derivational history in extractions; the extraction out of an unaccusative subject is sensitive to the base position of that constituent. Furthermore, the finding that Russian scrambled objects, which are arguably in an adjoined position, are also strong islands further supports CED-type accounts.

On the other hand, our results do not support any of the versions of the freezing accounts discussed above and in fact can be used as an empirical argument against freezing.

Looking at our results from a broader perspective that connects grammar and processing, we would like to conclude with a puzzle. Multiple processing studies have established that long-distance dependencies involving subject gaps are easier to process than object-gap dependencies. But subextraction out of subjects and objects is just the opposite: objects are much more transparent to subextraction, and only those subjects that are in some way like objects exhibit some transparency. The Russian data also suggest that the more an

object resembles a subject (e.g., in the OVS word order), the less transparent it becomes. Thus, preferences in extraction and subextraction are mirror images of each other. One could certainly state that extraction and subextraction are completely different, but from the processing standpoint, both establish a long-distance dependency, which means that they have an important thing in common. If so, it would be worthwhile to at least explore accounts that connect them and explain the mirror image that we observe. We leave that to the proverbial future research.